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| APPLICATION NO.  | FILING DATE  | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|--|--------------|----------------------|-------------------------|------------------|
| 10/697,429   | 10/29/2003 . | Bogdan Kowalczyk     | 47171-00408USPT         | 7741             |
| 41230 7590 11/27/2006 EXAMINER   |              |                      |                         | INER             |
| CUMMINS-ALLISON CORP. C/O JENKENS & GILCHRIST 225 WEST WASHINGTON STREET, SUITE 2600 |              |                      | BEAUCHAINE, MARK J      |                  |
|  |              |                      | ART UNIT                | PAPER NUMBER     |
| CHICAGO,   |              | 3653                 |                         |                  |
|  |              |                      | DATE MAILED: 11/27/2006 |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.   | Applicant(s)   |  |  |  |  |
|--|---|--|--|--|--|--|
|  | 10/697,429  | KOWALCZYK ET AL.   |  |  |  |  |
| Office Action Summary  | Examiner  | Art Unit   |  |  |  |  |
|  | Mark J. Beauchaine  | 3653   |  |  |  |  |
| The MAILING DATE of this communication app<br>Period for Reply   | pears on the cover sheet with the c   | correspondence address   |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPL' WHICHEVER IS LONGER, FROM THE MAILING D. Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period of Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE | N. nely filed the mailing date of this communication. D (35 U.S.C. § 133). |  |  |  |  |
| Status   |   |  |  |  |  |  |
| 1) Responsive to communication(s) filed on <u>01 S</u>   | eptember 2006.  | •  |  |  |  |  |
|  | action is non-final.  |  |  |  |  |  |
| 3) Since this application is in condition for allowa   |   | osecution as to the merits is  |  |  |  |  |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  |   |  |  |  |  |  |
| Disposition of Claims  |   |  |  |  |  |  |
| 4)⊠ Claim(s) <u>1-21</u> is/are pending in the application.  |   |  |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.   |   |  |  |  |  |  |
| 5) Claim(s) is/are allowed.  |   |  |  |  |  |  |
| 6)⊠ Claim(s) <u>1-21</u> is/are rejected.  |   |  |  |  |  |  |
| 7) Claim(s) is/are objected to.  | 7) Claim(s) is/are objected to.   |  |  |  |  |  |
| 8) Claim(s) are subject to restriction and/o   | or election requirement.  | •  |  |  |  |  |
| Application Papers   |   |  |  |  |  |  |
| 9) The specification is objected to by the Examiner.   |   |  |  |  |  |  |
| 10)⊠ The drawing(s) filed on <u>29 October 2003</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.   |   |  |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |   |  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |  |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |  |  |  |  |  |
| Priority under 35 U.S.C. § 119   |   |  |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:   |   |  |  |  |  |  |
| 1. Certified copies of the priority document   | 1. Certified copies of the priority documents have been received.   |  |  |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No   |   |  |  |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage  |   |  |  |  |  |  |
| application from the International Burea   |   |  |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.   |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| Attachment(s)  |   |  |  |  |  |  |
| 1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)  |   |  |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SR/08)  Paper No(s)/Mail Date  Notice of Informal Patent Application  |   |  |  |  |  |  |
| Information Disclosure Statement(s) (PTO/SB/08)     Paper No(s)/Mail Date  | 6) Other:   | atom, application  |  |  |  |  |
|  | •   |  |  |  |  |  |

Art Unit: 3653

#### **DETAILED ACTION**

# Claim Objections

Claim 20 is objected to because of the following informality:

The term "sized to corresponding to" is improper grammar.

Appropriate correction is required.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 7 and 13-16 are rejected under 35 U.S.C. 102(b) as being anticipated by Patent Number 5,370,575 by Geib et al (hereinafter "Geib"). The disc-type processing coin processing device disclosed by Geib incorporates rotatable disc 13, stationary head 12 having a machined surface (column 7, lines 11-17) and a lower surface generally parallel to and spaced slightly away from the rotatable disc, the lower surface having a plurality of shaped regions 42 and having a durable solid lubricant (Abstract, lines 7-10 and column 1, lines 52-57) disposed thereon. Said device sorts received coins by denomination and discharges said coins from a plurality of coin exit channels 27-32 formed in the surface of the sorting head (column 6, lines 34-40)

Art Unit: 3653

The device of Geib is operated by performing the acts of receiving coins via hopper 10, imparting motion to said coins by engaging the coins contact with rotating resilient pad 16 and the lower surface of sorting head 12, and sorting and discharging said coins via exit channels 27-32.

Geib further discloses shaped regions/dimples that are machined into discshaped object 12 (column 1, line 54) and durable solid lubricant (Abstract and column 1, line 55) that is deposited on said disc-shaped object.

The term "durable" is defined as being "able to exist for a long time without significant deterioration" (see Merriam-Webster's Collegiate Dictionary, 10<sup>th</sup> edition). The Applicant's claims fail to quantify the degree of durability. In both the apparatus of Geib and the Applicant's disclosure, a bulk of unsorted coins are inserted into a hopper and are forced to slide against a lubricant applied to the flat surface of a solid disk. It is common for such a bulk of coins to include various types of abrasive debris such as sand, metal spurs, etc. The inclusion of such debris in a bulk of coins processed by such apparatus is able to cause deterioration of a lubricant at various rates. Thus, the durability of both the lubricants of Geib and the Applicant are limited. Furthermore, in order for the lubricant of Geib to be effective it must be able to exist without significant degradation for a long enough time to permit prolonged periods of operation of the apparatus. Therefore, the lubricant of Geib is durable and reads on the Applicant's claims.

Art Unit: 3653

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 2, 3, 8 and 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Geib in view of Patent Number US 6,977,096 B2 by LeClaire ("LeClaire"). Geib fails to disclose a lubricant comprising tungsten disulphide particles. LeClaire teaches the application of a lubricant comprising tungsten disulphide particles 30 onto ferrous and non-ferrous substrates (column 1, lines 18-30) for the purpose of enhancing the lubricity of the substrate. Said lubricant has a thickness equal to about one tungsten disulphide particle (column 5, lines 4-8) which has a thickness of about one micron. Said particles are deposited into dimples 28 that are sized to correspond to said particles (see Figure 3). It would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the tungsten disulphide lubricant of LeClaire into the device of Geib for the purpose of enhancing the lubricity of substrate 12.

Claims 4 and 10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Geib as applied to claims 1 and 7 above, and further in view of Patent Number US 6,428,883 B1 by White ("White"). Geib fails to disclose a lubricant having a thickness of less that about one micron. White teaches a tungsten disulphide lubricant having a

Art Unit: 3653

thickness of less that about one micron (column 5, lines 11-21) deposited onto a substrate for the purpose of enhancing the lubricity of the substrate. It would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the lubricant of White into the device of Geib for the purpose of enhancing the lubricity of substrate 12.

Claims 5 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Geib as applied to claims 1 and 7 above, and further in view of Patent Number 4,131,491 by Joseph ("Joseph"). Geib fails to disclose machine component 12 as being constructed of a 4140 Alloy Prehard Steel. Joseph teaches machine component 20 constructed of 4140 Alloy Prehard Steel (column 6, lines 16-27) for the purpose of providing structural integrity to said component. It would have been obvious to one of ordinary skill in the art at the time the invention was made to construct the machine component of Geib with the steel of Joseph for the purpose of providing structural integrity to the component.

Claims 6 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Geib as applied to claims 1 and 7 above, and further in view of Patent Number 5,270,374 by Ratliff ("Ratliff"). Geib fails to disclose machine component 12 as being constructed of a Nitralloy 135 steel. Ratliff teaches machine components constructed of Nitralloy 135 steel (column 6, lines 65-4) for the purpose of providing structural integrity to the component. It would have been obvious to one of ordinary skill in the art at the

Art Unit: 3653

time the invention was made to construct the machine component of Geib with the steel of Ratliff for the purpose of providing structural integrity to the component.

Claims 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Geib as applied to claim 16 above, and further in view of Patent Number US 6,790,295 B2 by Kinoshita et al ("Kinoshita"). Geib fails to disclose the act of polishing a first surface after machining. Kinoshita teaches a slide surface that has lubricant-retaining dimples (column 1, lines 55-59) and is polished after being machined (column 2, lines 47-51) for the purpose of enhancing the lubricity of the surface. It would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the acts of machining and polishing of Kinoshita into the manufacturing process of the device of Geib for the purpose of enhancing the lubricity of slide surface of disc 12.

Claims 19 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Geib in view of Kinoshita as applied to claim 17 above, and further in view of LeClaire. Geib/Kinoshita fails to disclose a solid lubricant comprising tungsten disulphide particles that correspond to the size of the dimples. LeClaire teaches the application of a lubricant comprising tungsten disulphide particles 30 onto ferrous and non-ferrous substrates (column 1, lines 18-30) for the purpose of enhancing the lubricity of the substrate. Said particles are deposited into dimples 28 that are sized to correspond to said particles (see Figure 3). It would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the tungsten

Art Unit: 3653

disulphide lubricant of LeClaire into the device of Geib for the purpose of enhancing the lubricity of substrate 12.

Claim 18 is rejected under 35 U.S.C. 103(a) as being unpatentable over Geib as applied to claim 16 above, and further in view of Ratcliff. Geib fails to disclose the act of subjecting the disc-shaped metal object to a nitride and heat treatment during the manufacturing process. Ratcliff teaches the act of subjecting a metal object to a nitride and heat treatment process during a manufacturing process for the purpose of enhancing the surface hardness of the object. It would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the nitrating and heating acts of Ratcliff into the manufacturing process of Geib for the purpose of enhancing the surface hardness of the object.

Claim 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over Geib as applied to claim 16 above, and further in view of Kinoshita. Geib fails to disclose the act of polishing a first surface of the metal object after depositing a solid lubricant.

Kinoshita teaches the act of depositing a solid lubricant on a metal object (i.e., chromium plating – see column 2, lines 47-51) and a subsequent act of polishing said solid lubricant (column 2, lines 47-51 and column 3, lines 47-54) for the purpose of enhancing the lubricity of the solid lubricant. It would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the depositing

Art Unit: 3653

and polishing acts of Kinoshita into the manufacturing process of Geib for the purpose of enhancing the lubricity of the object surface.

# Response to Arguments

Applicant's arguments with respect to claims 1-21 have been considered but are moot in view of the new ground(s) of rejection.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mark J. Beauchaine whose telephone number is (571)272-6934. The examiner can normally be reached on 8:00AM through 5:00PM Mondays through Thursdays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick H. Mackey can be reached on (571)272-6916. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3653

Page 9

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

mjb

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